

09774147 search results

METHOD AND SYSTEM FOR PROVIDING DOWNSIDE PROTECTION OF STOCK MARKET INVESTMENTS

Section 1: Inventors Search

Section 2: Subject Search

This invention highlighted in green

Best Results highlighted in yellow

Other interesting points highlighted in blue

Section 1;

Inventors Search; patent literature

Set	Items	Description
S1	28	S AU=(BILLINGS, J? OR BILLINGS J? OR BILLINGS(2N)JAMES)
S2	465	S AU=(BAILEY, W? OR BAILEY W? OR BAILEY(2N)WILLIAM)
S3	1	S S1 AND S2
S4	492	S S1 OR S2
S5	16	S S4 AND INVEST?
S6	1	S S5 AND IC=G06F?
S7	1	S S6 NOT S3

? show files

[File 350] Derwent WPIX 1963-2008/UD=200820

(c) 2008 The Thomson Corporation. All rights reserved.

[File 347] JAPIO Dec 1976-2007/Dec(Updated 080328)

(c) 2008 JPO & JAPIO. All rights reserved.

[File 348] EUROPEAN PATENTS 1978-2007/ 200813

(c) 2008 European Patent Office. All rights reserved.

[File 349] PCT FULLTEXT 1979-2008/UB=20080320UT=20080313

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3/5/1 (Item 1 from file: 350) [Links](#)

Derwent WPIX

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0012787456 & & Drawing available

WPI Acc no: 2002-642760/200269

XRPX Acc No: N2002-508081

Stock market investment protection provision method for investment tracking system, involves comparing updated market and threshold prices to execute sell event, when updated market price is below threshold price

Patent Assignee: BAILEY W R (BAIL-I); BILLINGS J M (BILL-I)

Inventor: BAILEY W R; BILLINGS J M

Patent Family (1 patents, 1 & countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update	Type
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US 20020103742	A1	20020801	US 2001774147	A	20010130	200269	B
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Alerting Abstract US A1

NOVELTY - The market price of security is compared with input price defining maximum value. The maximum value is updated with market price when market price exceeds input price. A sell threshold price is determined by subtracting product of stop loss percentage and maximum value, from maximum value. The market and threshold prices are compared to execute a sell event, when market price is below threshold price.

DESCRIPTION - INDEPENDENT CLAIMS are included for the following:

1. Computer-readable recorded medium storing investment protection provision program; and
2. Investment protection provision system.

USE - For providing protection of stock market investment in investment tracking system.

ADVANTAGE - Provides protection in case of market decline, by updating the maximum price and by ensuring that the market price does not fall below a sell threshold price and selling the security in case of drop of market price.

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7/5/1 (Item 1 from file: 349) [Links](#)

PCT FULLTEXT

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01442952

SYSTEM AND METHOD FOR AUTOMATED SELECTION OF T-CELL EPITOPES
SYSTEME ET METHODE PERMETTANT DE SELECTIONNER AUTOMATIQUEMENT DES
EPITOPES DES LYMPHOCYTES

Patent Applicant/Patent Assignee:

- MERCK & CO INC; 126 East Lincoln Avenue, Rahway, NJ 07065-0907
US; US (Residence); US (Nationality)
(For all designated states except: US)

- Et. Al.

	Country	Number	Kind	Date
Patent	WO	2006124408	A2	20061123
Application	WO	2006US17897		20060508
Priorities	US	2005680323		20050512

=====

Inventors search; non patent literature

Set	Items	Description
S1	100	S AU=(BILLINGS, J? OR BILLINGS J? OR BILLINGS(2N)JAMES)
S2	1149	S AU=(BAILEY, W? OR BAILEY W? OR BAILEY(2N)WILLIAM)
S3	0	S S1 AND S2
S4	1249	S S1 OR S2
S5	160	S S4 AND INVEST?
S6	14	S S5 AND PROTECT?

S7 14 RD (unique items)

; show files

[File 2] INSPEC 1898-2008/Mar W1

(c) 2008 Institution of Electrical Engineers. All rights reserved.

[File 35] Dissertation Abs Online 1861-2008/Nov

(c) 2008 ProQuest Info&Learning. All rights reserved.

[File 65] Inside Conferences 1993-2008/Apr 01

(c) 2008 BLDSC all rts. reserv. All rights reserved.

[File 99] Wilson Appl. Sci & Tech Abs 1983-2008/Jan

(c) 2008 The HW Wilson Co. All rights reserved.

[File 474] New York Times Abs 1969-2008/Mar 29

(c) 2008 The New York Times. All rights reserved.

[File 475] Wall Street Journal Abs 1973-2008/Apr 01

(c) 2008 The New York Times. All rights reserved.

[File 583] Gale Group Globalbase(TM) 1986-2002/Dec 13

(c) 2002 The Gale Group. All rights reserved.

**File 583: This file is no longer updating as of 12-13-2002.*

[File 139] EconLit 1969-2008/Feb

(c) 2008 American Economic Association. All rights reserved.

[File 20] Dialog Global Reporter 1997-2008/Apr 01

(c) 2008 Dialog. All rights reserved.

[File 15] ABI/Inform(R) 1971-2008/Mar 31

(c) 2008 ProQuest Info&Learning. All rights reserved.

[File 610] Business Wire 1999-2008/Mar 31

(c) 2008 Business Wire. All rights reserved.

**File 610: File 610 now contains data from 3/99 forward. Archive data (1986-2/99) is available in File 810.*

[File 810] Business Wire 1986-1999/Feb 28

(c) 1999 Business Wire . All rights reserved.

[File 613] PR Newswire 1999-2008/Apr 01

(c) 2008 PR Newswire Association Inc. All rights reserved.

**File 613: File 613 now contains data from 5/99 forward. Archive data (1987-4/99) is available in File 813.*

[File 813] PR Newswire 1987-1999/Apr 30

(c) 1999 PR Newswire Association Inc. All rights reserved.

[File 634] San Jose Mercury Jun 1985-2008/Mar 29

(c) 2008 San Jose Mercury News. All rights reserved.

[File 624] McGraw-Hill Publications 1985-2008/Apr 01

(c) 2008 McGraw-Hill Co. Inc. All rights reserved.

**File 624: Homeland Security & Defense and 9 Platt energy journals added Please see HELP NEWS624 for more*

[File 9] Business & Industry(R) Jul/1994-2008/Mar 28
(c) 2008 The Gale Group. All rights reserved.

[File 275] Gale Group Computer DB(TM) 1983-2008/Mar 26
(c) 2008 The Gale Group. All rights reserved.

[File 621] Gale Group New Prod.Annou.(R) 1985-2008/Mar 14
(c) 2008 The Gale Group. All rights reserved.

[File 636] Gale Group Newsletter DB(TM) 1987-2008/Mar 27
(c) 2008 The Gale Group. All rights reserved.

[File 16] Gale Group PROMT(R) 1990-2008/Mar 26
(c) 2008 The Gale Group. All rights reserved.

**File 16: Because of updating irregularities, the banner and the update (UD=) may vary.*

[File 160] Gale Group PROMT(R) 1972-1989
(c) 1999 The Gale Group. All rights reserved.

[File 148] Gale Group Trade & Industry DB 1976-2008/Mar 14
(c)2008 The Gale Group. All rights reserved.
**File 148: The CURRENT feature is not working in File 148. See HELP NEWS148.*

[File 256] TecInfoSource 82-2008/Aug
(c) 2008 Info.Sources Inc. All rights reserved.

[File 989] NewsRoom Alert Apr 01
(c) 2008 Dialog. All rights reserved.

[File 990] NewsRoom Current Oct 01-2008/Mar 31
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[File 991] NewsRoom 2007 Jan 1-2007/Sep 31
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[File 995] NewsRoom 2003
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[File 996] NewsRoom 2000-2002
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[File 625] American Banker Publications 1981-2008/Mar 31
(c) 2008 American Banker. All rights reserved.

[File 268] Banking Info Source 1981-2008/Mar W4
(c) 2008 ProQuest Info&Learning. All rights reserved.

[File 626] Bond Buyer Full Text 1981-2008/Mar 31
(c) 2008 Bond Buyer. All rights reserved.

[File 267] Finance & Banking Newsletters 2008/Mar 24
(c) 2008 Dialog. All rights reserved.

[File 485] Accounting & Tax DB 1971-2008/Mar W3
(c) 2008 ProQuest Info&Learning. All rights reserved.

=====

7/3,K/1 (Item 1 from file: 2) [Links](#)
INSPEC

(c) 2008 Institution of Electrical Engineers. All rights reserved.
10060156

Title: Autonomous Microbial Sampler (AMS), a device for the uncontaminated collection of multiple microbial samples from submarine hydrothermal vents and other aquatic environments

Author Taylor, C.D.; Doherty, K.W.; Molyneaux, S.J.; Morrison, A.T., III; Billings, J.D.; Engstrom, I.B.; Pfitsch, D.W.; Honjo, S.

Author Affiliation: Dept. of Biol., Woods Hole Oceanogr. Instn., MA, USA

Journal: Deep-Sea Research, Part I (Oceanographic Research Papers) vol.53, no.5 p. 894-916

Publisher: Elsevier ,

Publication Date: May 2006 Country of Publication: UK

Language: English

Copyright 2006, The Institution of Engineering and Technology

Author Taylor, C.D.; Doherty, K.W.; Molyneaux, S.J.; Morrison, A.T., III; Billings, J.D.; Engstrom, I.B.; Pfitsch, D.W.; Honjo, S.

Abstract: ...independent filtered or unfiltered samples. An onboard microcomputer permits sampling to be controlled by the investigator, by external devices (e.g., AUV computer), or by internal programming. Temperature, volume pumped and other parameters are recorded during sampling. Complete protection of samples from microbial contamination was observed in tests simulating deployment of the AMS in...

7/3,K/2 (Item 2 from file: 2) [Links](#)
INSPEC

(c) 2008 Institution of Electrical Engineers. All rights reserved.

08490238 INSPEC Abstract Number: A2003-03-8760P-004, B2003-02-7530B-063

Title: Health effects relevant to the setting of EMF exposure limits

Author Bailey, W.H.

Author Affiliation: Exponent, New York, NY, USA

Journal: Health Physics Conference Title: Health Phys. (USA) vol.83, no.3 p. 376-86

Publisher: Lippincott Williams & Wilkins ,

Publication Date: Sept. 2002 Country of Publication: USA

Material Identity Number: P578-2002-013

Conference Title: EMF Exposure Guidelines Science Workshop

Conference Date: June 2000 Conference Location: Brussels, Belgium

Language: English

Copyright 2003, IEE

Author Bailey, W.H.

Abstract: ...new exposure guidelines. For magnetic fields, there is stronger evidence for setting exposure limits to protect against adverse effects of nerve stimulation than for protecting against visual magnetophosphenes. Magnetophosphenes are not adverse, and the evidence that these perceptual responses of... ..peripheral nerve stimulation) produces prolonged alterations of brain excitability and "indisposure" of subjects should be investigated in future research.

Descriptors: ...radiation protection;

7/3,K/3 (Item 1 from file: 15) [Links](#)

ABI/Inform(R)

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03268227 1301434361

Notes from the Field: Jumpstarting the IRB Approval Process in Multicenter Studies

Blustein, Jan; Regenstein, Marsha; Siegel, Bruce; Billings, John

Health Services Research v42n4 pp: 1773-1782

Aug 2007

ISSN: 0017-9124 Journal Code: HSR

...Billings, John

Abstract:

...a modular approach to study description, and reliance on conference calls to collectively engage prospective investigators, local IRB members, and the evaluation/national program office teams.

Together, these strategies allowed early...

...without compromising the fairness of the grant-making process or the integrity of human subjects protection. (PUBLICATION ABSTRACT)

7/3,K/4 (Item 2 from file: 15) [Links](#)

ABI/Inform(R)

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03092615 1065820541

Fishing for Opportunities

Cruickshank, Carol; Bailey, Wynn; Sharma, Nikhil

Pharmaceutical Executive pp: 14-19

Jun 2006

ISSN: 0279-6570 Journal Code: PHX

Word Count: 2834

...Bailey, Wynn

Text:

...drug to the market faster, thus providing earlier relief to patients, a faster return on investment for the company, and longer patent protection.

7/3,K/5 (Item 3 from file: 15) [Links](#)

ABI/Inform(R)

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00557828 91-32186

Pay-at-the-Pump and Other Schemes That Run on Empty

Bailey, William E.

Insurance Review v52n6 pp: 59-60

Jun 1991

ISSN: 0749-8667 Journal Code: III

Bailey, William E.

Abstract:

...auto insurance rates. The problem with such solutions is that rollbacks conflict with the constitutional protection of private property.

Courts have consistently held that insurers have a right to earn a fair rate of return on capital investment.

Pay-at-the-pump schemes have been denounced as grossly unfair to the vast majority...

7/3,K/6 (Item 1 from file: 16) [Links](#)

Gale Group PROMT(R)

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06885228 Supplier Number: 57798490 (USE FORMAT 7 FOR FULLTEXT)

WHAT THE `EXPERTS SAY`.

Billings, Jennifer

Food & Drug Packaging , p 244

Nov , 1999

Language: English Record Type: Fulltext

Document Type: Magazine/Journal ; Trade

Word Count: 6409

Billings, Jennifer

...fully understanding how these actions will effect American businesses.

One way the packaging industry can protect itself from unnecessary regulatory intervention is by being organized, staying informed and keeping in contact...business was truly the engine of industrialized progress.

7/3,K/7 (Item 1 from file: 148) [Links](#)

Gale Group Trade & Industry DB

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0022570310 Supplier Number: 167107123 (USE FORMAT 7 OR 9 FOR FULL TEXT)

Notes from the field: jumpstarting the IRB approval process in multicenter studies.(Methods Article)(Author abstract)(Report)

Blustein, Jan; Regenstein, Marsha; Siegel, Bruce; Billings, John

Health Services Research , 42 , 4 , 1773(10)

August , 2007

Document Type: Report Author abstract

ISSN: 0017-9124

Language: English

Record Type: Fulltext; Abstract

Word Count: 3765 Line Count: 00316

...Billings, John

Author Abstract: ...a modular approach to study description, and reliance on conference calls to collectively engage prospective investigators, local IRB members, and the evaluation/national program office teams. Together, these strategies allowed early... ...without compromising the fairness of the grant-making process or the integrity of human subjects protection.

7/3,K/8 (Item 2 from file: 148) [Links](#)

Gale Group Trade & Industry DB

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05220300 Supplier Number: 11216640 (USE FORMAT 7 OR 9 FOR FULL TEXT)

Pay-at-the-pump and other schemes that run on empty. (the problem of high auto insurance costs)(Viewpoint) (column)

Bailey, William E.

Insurance Review , v52 , n6 , p59(2)

June , 1991

Document Type: column

ISSN: 0749-8667

Language: ENGLISH

Bailey, William E.

...the auto liability system astutely stepped up their own media campaigns in the guise of protecting the victim's right to sue. Trial lawyers lambasted the insurance industry for trying to...

7/3,K/9 (Item 3 from file: 148) [Links](#)
Gale Group Trade & Industry DB
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05093279 Supplier Number: 09863159 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Spotlight on free beds. (free patient care in Connecticut)
Bailey, Willard
Fund Raising Management , v21 , n11 , p45(5)
Jan , 1991
ISSN: 0016-268X
Language: ENGLISH
Record Type: FULLTEXT
Bailey, Willard
...philanthropic funds in the old-fashioned way ... with integrity and tender loving care. But an investigation she has recently completed - or rather tried to complete in the face of stonewalling and...

7/3,K/10 (Item 1 from file: 992) [Links](#)
NewsRoom 2006
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1170604173 177535RE
In the news
Compiled from our press services and staff reports by Tom Bailey Jr., William C. Bayne Jr., Chris Conley, Shirley Downing and Richard Locker.
Memphis Commercial Appeal (TN) , Final ed , p B11
Thursday , March 9, 2006
Journal Code: ADCE Language: English Record Type: Fulltext
Document Type: Newspaper Section Heading: Metro ISSN: 0745-4856
Word Count: 964
Compiled from our press services and staff reports by Tom Bailey Jr., William C. Bayne Jr., Chris Conley, Shirley Downing and Richard Locker.

7/3,K/11 (Item 1 from file: 994) [Links](#)
NewsRoom 2004
(c) 2008 Dialog. All rights reserved.
0757580607 16FC2GQY
Industrial Powerhouse Optimization in the Deregulated Electricity Marketplace
Hughes, Paul D
Bailey, William F
Energy Engineering , v 101 , n 1 , p 57
Thursday , January 1, 2004
Journal Code: FHHH Language: English Record Type: Fulltext
Document Type: Trade Journal ISSN: 01998595
Hughes, Paul D
Bailey, William F

...to the nylon process. Electricity generation levels were primarily dictated by process requirements and to protect critical loads in the event of utility source interruption. Generation levels were usually increased during...

7/3,K/12 (Item 1 from file: 996) [Links](#)

NewsRoom 2000-2002

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0505084956 15XL2LYV

A cautionary tale about conducting research on abstinence education: How do state abstinence coordinators define "sexual activity?"

Bailey, William

Young, Michael

Daon, Tam

American Journal of Health Education , v 33 , n 5 , p 290

Sunday , September 1, 2002

Journal Code: FCHC Language: English Record Type: Fulltext

Document Type: Scholarly Journal

Bailey, William

Young, Michael

Daon, Tam

...January 2002, Secretary of Health and Human Services Tommy Thompson announced, "We are making unprecedented investments in abstinence education. For the first time, the federal government has demonstrated its commitment to...coordinator indicated that this was just the "type of filth" from which they wanted to protect children. We noted that we were not surveying children, we were surveying adult abstinence coordinators...

7/3,K/13 (Item 2 from file: 996) [Links](#)

NewsRoom 2000-2002

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0009006270 14YL063X

Engage Latin America now Trouble spots on the continent threaten U.S. interests

Norman A. Bailey and William Perry

WASHINGTON TIMES (DC) , Final ed , p A17

Monday , January 17, 2000

Journal Code: ADWQ Language: ENGLISH Record Type: Fulltext

Document Type: Newspaper Section Heading: COMMENTARY OP-ED ISSN: 0732-8494

Norman A. Bailey and William Perry

Text:

...of the United States, among them freer trade, respect for human and civil rights, environmental protection, and especially liberty, democracy and capitalism. As a result, the United States should actively support...

7/3,K/14 (Item 1 from file: 485) [Links](#)

Accounting & Tax DB

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01102054 Supplier Number: 1304070871

Personal Finance: An Interdisciplinary Profession
Schuchardt, Jane; Bagwell, Dorothy C; Bailey, William C; DeVaney, Sharon A; Et al
Financial Counseling & Planning v18 n1 pp: 61-63+ 2007
ISSN: 1053-3073 Journal Code: FCGP
Accounting & Tax DB_1971-2008/Mar W3
...Bailey, William C Text:

...to narrow the possible range of objectives and ignore most attitudinal considerations. Many financial decisions (investment portfolio decisions, saving behavior, credit use, and insurance) can be modeled in terms of maximization...

=====

Section 2:

Subject Search: patent literature; abstracts/bibliographic

Set Items Description

S1 622106 S ANNUIT??? OR BOND OR BONDS OR (CORPORATE OR MUNICIPAL)()BONDS OR EQUITY()LINKED OR EQUITIES OR (FINANCIAL OR INVESTMENT)()(VEHICLE OR VEHICLES OR PRODUCT OR PRODUCTS OR INSTRUMENT OR INSTRUMENTS) OR FUND OR FUNDS OR FUTURES OR INDIVIDUAL()RETIREMENT()(ACCOUNT OR ACCOUNTS) OR INVESTMENT OR INVESTMENTS OR IRA OR IRAS OR MUNI OR MUNIS OR PORTFOLIO OR PREFERRED()(STOCK OR STOCKS) OR SECURITIES OR SHARE OR SHARES OR SH OR SHR OR STOCK OR STOCKS OR (STOCK OR STOCKS OR BOND OR BONDS OR FINANCIAL OR INTERNATIONAL OR COMMODIT??? OR FUTURES OR SECURIT??? OR EQUITIES OR EQUITY)()(MARKET OR MARKETS OR TRADE?? OR TRADING OR INVEST? OR EXCHANG?)

S2 33009 S (DOWNSIDE OR DECLINE OR DECLINING OR BOTTOM OR LOWEST OR BASE OR FALL OR FALLING OR FALLEN OR LOSS OR LOSSES)(3N)(PROTECTION OR PROTECT OR LIMIT OR LIMITING OR STOP OR STOPPING OR GUARD??? OR SAFEGUARD? OR PRECAUTION??? OR DEFEND? OR SHIELD???) OR HEDGE OR HEDGING OR BUY(2N)SELL

S3 3616513 S (MULTIPLE OR LOGISTICAL OR TREE)(N)REGRESSION OR LOGARITHM?? OR (DISCRIMINATE OR STATISTICAL)()(TECHNIQUE OR PROCEDURE OR ANALYSIS OR REPRESENTATION OR REPRESENTATIONS) OR LOGIC()(STRUCTURE OR STRUCTURES) OR WEIGHT??? OR MODEL??? OR MODELLING OR LINEAR()(ALGORITHM OR ALGORITHMS) OR FORMULA? ? OR PLOT OR PLOTS OR PLOTT??? OR CHART??? OR HEURISTIC OR HEURISTICS OR EQUATION OR EQUATIONS OR MATHEMATICAL()(EXPRESSION OR EXPRESSIONS) OR FORECAST??? OR FORETELL? OR FORE()CAST??? OR TELL??? OR SEE???) OR PROBABILITY OR PROBABLE OR ANTICIPAT??? OR EXPECT?? OR MODEL??? OR MODELLING OR PREDICT??? OR FORESEE? OR POTENTIAL?? OR CONTINGEN?? OR LIKELY? OR LIKELIHOOD OR CHANCE? ? OR PROJECTI???

S4 3 S ((STOP OR STOPPING OR HALTING)()LOSS OR LOOSING)()PERCENTAGE?) AND ((MARKET OR MARKETS OR TRADABLE OR PURCHAS?)(3N)(VALUE? ? OR PRICE? ? OR AMOUNT? ? OR WORTH OR RATE? ? OR VALUATION) OR TRAILING()STOP()LOSS OR TRAILING()STOP()LIMIT)

S5 9 S (HIGH OR HIGHEST OR MOST OR HIGH?? OR HI OR UPPER OR TOP OR TOPMOST OR UPMOST OR IPPERMOST)(2N)(VALUE? ? OR AMOUNT? ? OR WORTH OR PRICE OR COST? ?) AND ((SELL OR SALE? ?)(2N)(STANDARD OR THRESHOLD OR THRESHHOLD OR TARGET?? OR BENCHMARK OR BENCH()MARK OR CRITERIA OR YARDSTICK OR YARD()STICK OR MINIMUM OR REFERENCE()VALUE))(3N)(PRICE OR

PRICES OR CHARGE OR CHARGES OR FEE OR FEES OR OFFER OR OFFERS OR BID OR BIDS OR COST OR COSTS)

S6 0 S ((STOP OR STOPPING OR HALT OR HALTING OR TERMINAT??? OR END??? OR CONCLUDE??? OR CANCEL?)(3N)(LOSS OR LOOSE OR LOSE? ? OR LOSING OR FAILURE OR FORFEIT??? OR FORFEITURE))(2N)((CURRENCY OR DOLLAR OR DOLLARS OR EURO OR EUROS OR FRANC OR FRANCS OR DEUTSCHMARK OR DEUTSCHMARKS OR POUND OR POUNDS OR STERLING OR RUPEE OR RUPEES OR YEN OR LIRA OR PESO OR PESOS) AND (STANDARD OR THRESHOLD OR THRESHHOLD OR TARGET?? OR BENCHMARK OR BENCH()MARK OR CRITERIA OR YARDSTICK OR YARD()STICK OR MINIMUM OR REFERENCE()VALUE))(3N)(PRICE OR PRICES OR CHARGE OR CHARGES OR FEE OR FEES OR OFFER OR OFFERS OR BID OR BIDS OR COST OR COSTS)

S7 1504 S S1 AND S2

S8 521 S S7 AND S3

S9 2 S S8 AND (S4 OR S5)

S10 1 S S4 NOT S9

? show files

[File 350] Derwent WPIX 1963-2008/UD=200821

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[File 347] JAPIO Dec 1976-2007/Dec(Updated 080328)

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[Bad Dates]

9/5/1 (Item 1 from file: 350) [Links](#)

Derwent WPIX

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0015832606 & & *Drawing available*

WPI Acc no: 2006-028155/200603

Related WPI Acc No: 2006-028152; 2006-028153; 2006-028154

XRPX Acc No: N2006-024587

Portfolio management system monitors protection levels of every investment for every account and client in real-time and automatically executes transaction or alerts advisor when tolerance is breached

Patent Assignee: VOUDRIE J D (VOUD-I)

Inventor: VOUDRIE J D

Patent Family (1 patents, 1 & countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update	Type
US 20050273412	A1	20051208	US 2004859859	A	20040603	200603	B
			US 2004925305	A	20040824		

Alerting Abstract US A1

NOVELTY - A management unit monitors the protection levels of every investment for every account and client in real-time and automatically executes transaction or alerts the advisor when tolerance is breached. An adjusting module enables each of the protection levels defined by sell trigger module to be dynamically adjusted according to predefined criteria and performance of security relative to target growth rate at different levels.

DESCRIPTION - INDEPENDENT CLAIMS are also included for the following:

3. sell trigger module;
4. dynamic adjusting module;
5. dynamic stop-loss system;
6. dynamic trailing stop-loss system; and
7. stop-loss or trailing stop-loss system.

USE - Portfolio management system.

ADVANTAGE - The use of expected growth rate allows the user to effectively adjust portfolio allocations for current economic conditions.

DESCRIPTION OF DRAWINGS - The figure shows a flow diagram explaining the portfolio management process.

9/5/2 (Item 2 from file: 350) [Links](#)

Derwent WPIX

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0015832604 & & *Drawing available*

WPI Acc no: 2006-028153/200603

Related WPI Acc No: 2006-028152; 2006-028154; 2006-028155

XRPX Acc No: N2006-024585

Portfolio management system for financial application, monitors stop loss and improved trailing stop loss protection levels of every investment for every account in real time, for automatic execution of transactions

Patent Assignee: VOUDRIE J O (VOUD-I)

Inventor: VOUDRIE J O

Patent Family (1 patents, 1 & countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update	Type
US 20050273410	A1	20051208	US 2004859859	A	20040603	200603	B
			US 2004919426	A	20040816		

Alerting Abstract US A1

NOVELTY - A trigger module uses stop loss and improved trailing stop loss protection levels per investment. The number of stop loss price and trailing stop loss price protection levels for the same investments, varies from client to client and account to account. The protection levels of every investment for every account are monitored in real time, for automatic execution of transactions and alerts advisor that tolerance breached.

DESCRIPTION - INDEPENDENT CLAIMS are also included for the following:

8. sell trigger module;
9. improved stop loss system; and
10. trailing stop loss system.

USE - For managing financial investments, tracking transactions and selling investments in incremental way on considering stop loss and trailing stop loss protection levels, for reporting gain and loss.

ADVANTAGE - Enables efficient portfolio management for improved financial investments.

DESCRIPTION OF DRAWINGS - The figure shows the flowchart explaining operation of expected growth rate system.

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10/5/1 (Item 1 from file: 350) [Link](#)

Derwent WPIX

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0012787456 & & Drawing available

WPI Acc no: 2002-642760/200269

XRPX Acc No: N2002-508081

Stock market investment protection provision method for investment tracking system, involves comparing updated market and threshold prices to execute sell event, when updated market price is below threshold price

Patent Assignee: BAILEY W R (BAIL-I); BILLINGS J M (BILL-I)

Inventor: BAILEY W R; BILLINGS J M

Patent Family (1 patents, 1 & countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update	Type
US 20020103742	A1	20020801	US 2001774147	A	20010130	200269	B

Alerting Abstract US A1

NOVELTY - The market price of security is compared with input price defining maximum value. The maximum value is updated with market price when market price exceeds input price. A sell threshold price is determined by subtracting product of stop loss percentage and maximum value, from maximum value. The market and threshold prices are compared to execute a sell event, when market price is below threshold price.

DESCRIPTION - INDEPENDENT CLAIMS are included for the following:

11. Computer-readable recorded medium storing investment protection provision program; and

12. Investment protection provision system.

USE - For providing protection of stock market investment in investment tracking system.

ADVANTAGE - Provides protection in case of market decline, by updating the maximum price and by ensuring that the market price does not fall below a sell threshold price and selling the security in case of drop of market price.

=====

Subject Search; patent literature; full text

Set Items Description

S1 622306 S ANNUIT??? OR BOND OR BONDS OR (CORPORATE OR MUNICIPAL)()BONDS OR EQUITY()LINKED OR EQUITIES OR (FINANCIAL OR INVESTMENT)()(VEHICLE OR VEHICLES OR PRODUCT OR PRODUCTS OR INSTRUMENT OR INSTRUMENTS) OR FUND OR FUNDS OR FUTURES OR INDIVIDUAL()RETIREMENT()(ACCOUNT OR ACCOUNTS) OR INVESTMENT OR INVESTMENTS OR IRA OR IRAS OR MUNI OR MUNIS OR PORTFOLIO OR PREFERRED()(STOCK OR STOCKS) OR SECURITIES OR SHARE OR SHARES OR SH OR SHR OR STOCK OR STOCKS OR (STOCK OR STOCKS OR BOND OR BONDS OR FINANCIAL OR INTERNATIONAL OR COMMODIT??? OR FUTURES OR SECURIT??? OR EQUITIES OR EQUITY)()(MARKET OR MARKETS OR TRADE?? OR TRADING OR INVEST? OR EXCHANG?)
S2 30393 S (DOWNSIDE OR DECLINE OR DECLINING OR BOTTOM OR LOWEST OR BASE OR FALL OR FALLING OR FALLEN OR LOSS OR LOSSES)(3N)(PROTECTION OR PROTECT

OR LIMIT OR LIMITING OR STOP OR STOPPING OR GUARD??? OR SAFEGUARD? OR
PRECAUTION??? OR DEFEND? OR SHIELD???) OR HEDGE OR HEDGING OR BUY(2N)SELL
S3 1721443 S (MULTIPLE OR LOGISTICAL OR TREE)(N)REGRESSION OR LOGARITHM??
OR (DISCRIMINATE OR STATISTICAL)()(TECHNIQUE OR PROCEDURE OR ANALYSIS OR
REPRESENTATION OR REPRESENTATIONS) OR LOGIC()(STRUCTURE OR STRUCTURES) OR
WEIGHT??? OR MODEL??? OR MODELLING OR LINEAR()(ALGORITHM OR ALGORITHMS)
OR FORMULA? ? OR PLOT OR PLOTS OR PLOTT??? OR CHART??? OR HEURISTIC OR
HEURISTICS OR EQUATION OR EQUATIONS OR MATHEMATICAL()(EXPRESSION OR
EXPRESSIONS) OR FORECAST??? OR FORETELL? OR FORE()(CAST??? OR TELL??? OR
SEE???) OR PROBABILITY OR PROBABLE OR ANTICIPAT??? OR EXPECT?? OR MODEL??? OR
MODELLING OR PREDICT??? OR FORESEE? OR POTENTIAL?? OR CONTINGEN?? OR
LIKELY? OR LIKELIHOOD OR CHANCE? ? OR PROJECTI???

S4 1 S ((STOP OR STOPPING OR HALTING)()(LOSS OR LOOSING)()PERCENTAGE?) AND
((MARKET OR MARKETS OR TRADABLE OR PURCHAS?)(3N)(VALUE? ? OR PRICE? ? OR
AMOUNT? ? OR WORTH OR RATE? ? OR VALUATION) OR TRAILING()STOP()LOSS OR
TRAILING()STOP()LIMIT)

S5 113 S (HIGH OR HIGHEST OR MOST OR HIGH?? OR HI OR UPPER OR TOP OR
TOPMOST OR UPMOST OR IPPERMOST)(2N)(VALUE? ? OR AMOUNT? ? OR WORTH OR
PRICE OR COST? ?) AND ((SELL OR SALE? ?)(2N)(STANDARD OR THRESHOLD OR
THRESHHOLD OR TARGET?? OR BENCHMARK OR BENCH()MARK OR CRITERIA OR
YARDSTICK OR YARD()STICK OR MINIMUM OR REFERENCE()VALUE))(3N)(PRICE OR
PRICES OR CHARGE OR CHARGES OR FEE OR FEES OR OFFER OR OFFERS OR BID OR BIDS
OR COST OR COSTS)

S6 2 S ((STOP OR STOPPING OR HALT OR HALTING OR TERMINAT??? OR END??? OR
CONCLUDE??? OR CANCEL?)(3N)(LOSS OR LOOSE OR LOSE? ? OR LOSING OR FAILURE OR
FORFEIT??? OR FORFEITURE))(2N)((CURRENCY OR DOLLAR OR DOLLARS OR EURO OR
EUROS OR FRANC OR FRANCS OR DEUTSCHMARK OR DEUTSCHMARKS OR POUND OR
POUNDS OR STERLING OR RUPEE OR RUPEES OR YEN OR LIRA OR PESO OR PESOS) AND
(STANDARD OR THRESHOLD OR THRESHHOLD OR TARGET?? OR BENCHMARK OR
BENCH()MARK OR CRITERIA OR YARDSTICK OR YARD()STICK OR MINIMUM OR
REFERENCE()VALUE))(3N)(PRICE OR PRICES OR CHARGE OR CHARGES OR FEE OR FEES
OR OFFER OR OFFERS OR BID OR BIDS OR COST OR COSTS)

S7 2178 S S1(S)S2

S8 840 S S7(S)S3

S9 5 S S8(S)(S4 OR S5)

S10 5 IDPAT (sorted in duplicate/non-duplicate order)

S11 5 IDPAT (primary/non-duplicate records only)

S12 4 S S11 AND IC=G06F?

S13 1 S S4 NOT S12

S14 1 S S6 AND IC=G06F?

S15 1 S S14 NOT (S13 OR S12)

; show files

[File 348] EUROPEAN PATENTS 1978-2007/ 200813

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[File 349] PCT FULLTEXT 1979-2008/UB=20080320UT=20080313

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12/5/1 (Item 1 from file: 349) [Links](#)

PCT FULLTEXT

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00994559

DIGITAL OPTIONS HAVING DEMAND-BASED, ADJUSTABLE RETURNS, AND TRADING EXCHANGE THEREFOR

OPTIONS NUMERIQUES A RETOURS AJUSTABLES BASEES SUR LA DEMANDE ET BOURSE D'ECHANGES COMMERCIAUX AFFERENTE

Patent Applicant/Patent Assignee:

13. LONGITUDE INC; 650 Fifth Avenue, New York, NY 10019

US; US(Residence); US(Nationality)

	Country	Number	Kind	Date
Patent	WO	200323575	A2-A3	20030320
Application	WO	2002US30309		20020909
Priorities	US	2001950498		20010910

English Abstract:

Methods and systems for conducting demand-based trading are described. In one embodiment, states are established, each state corresponding to at least one possible outcome of an event (264) of economic significance. An investment amount may be determined as a function of a selected outcome, a desired payout (266), and a total amount invested in the states (264). In another embodiment, an investment amount may be determined as a function of parameters of a financial product. In another embodiment, a payout may be determined as a function of an investment amount, a selected outcome, a total amount invested in the states, and an identification of a state corresponding to an observed outcome (263) of the event.

12/5/2 (Item 2 from file: 349) [Links](#)

PCT FULLTEXT

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00923939

STOCK EXCHANGE SUPPORTING SYSTEM, METHOD FOR SUPPORTING STOCK EXCHANGE, AND STORAGE MEDIUM STORING STOCK EXCHANGE SUPPORTING PROGRAM FOR IMPLEMENTING THE SAME METHOD

SYSTEME ET PROCEDE DE SUPPORT DE BOURSE, ET SUPPORT DE STOCKAGE COMPORTANT UN PROGRAMME DE SUPPORT DE BOURSE PERMETTANT DE METTRE EN OEUVRE LEDIT PROCEDE

Patent Applicant/Inventor:

14. LEE Soo Sung

3ga-101 Choungsan Villa 527-300 Myonmok-dong, Joongrang-ku, Seoul 131-200; KR;

KR(Residence); KR(Nationality);

	Country	Number	Kind	Date
Patent	WO	200257981	A1	20020725
Application	WO	2001KR2159		20011213
Priorities	KR	20013287		20010119

English Abstract:

The present invention provides a stock exchange supporting system, method for supporting stock exchange and a storage medium storing the stock exchange supporting program for carrying out the same method. In accordance with the present invention, in case a stock transaction reservation information is registered just once on a stock market opening day using the stock exchange supporting system of the present invention, the reservation is continuously valid till all the stocks registered in the stock transaction reservation information are transacted or the stock transaction reservation is canceled. Further, by using the stock exchange supporting system in accordance with the present invention, it is possible to alternately execute buy transactions and sell transactions of stock exchange up to n times by registering the stock transaction reservation information once.

12/5/3 (Item 3 from file: 349) [Links](#)

PCT FULLTEXT

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00899532

METHODS AND APPARATUS FOR FORMULATION, INITIAL PUBLIC OR PRIVATE OFFERING, AND SECONDARY MARKET TRADING OF RISK MANAGEMENT CONTRACTS

PROCEDES ET SYSTEME POUR LA FORMULATION DE PREMIERES OFFRES PUBLIQUES OU PRIVEES ET LA NEGOCIATION DE MARCHE SECONDAIRE POUR DES CONTRATS DE GESTION DE RISQUES

Patent Applicant/Patent Assignee:

15. PARETO PARTNERS LTD; 7 Thistle, Portola Valley, CA 94028

US; US(Residence); US(Nationality)

	Country	Number	Kind	Date
Patent	WO	200233627	A2	20020425
Application	WO	2001US32275		20011015
Priorities	US	2000240903		20001017
	US	2001284051		20010416
	US	2001923035		20010806

12/5/4 (Item 4 from file: 349) [Links](#)

PCT FULLTEXT

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00736183

COMPUTERIZED SYSTEM AND METHOD FOR OPTIMIZING AFTER-TAX PROCEEDS INVOLVING OPTIONS

SYSTEME INFORMATISE ET PROCEDE PERMETTANT D'OPTIMISER DES PRODUITS APRES IMPOTS FAISANT APPEL AUX OPTIONS

Patent Applicant/Patent Assignee:

16. DYNAMIC RESEARCH GROUP; Suite 201, 471 West 36th Avenue, Anchorage, AK 99503

US; US(Residence); US(Nationality)

	Country	Number	Kind	Date
Patent	WO	200049516	A1	20000824
Application	WO	2000US4283		20000218
Priorities	US	99253453		19990219

English Abstract:

A computerized system and method process financial securities and instruments, including options, derivatives, and bonds, to accurately determine and optimize the after-tax proceeds at the end of a holding period for each of a set of investment strategies and determines an optimal strategy (212) for maximizing such after-tax proceeds. The [computerized] system and method perform tax loss harvesting analysis (210) on the user-customized investment expectations and the financial adviser-based investment expectations over a dynamic taxation time range using a predetermined software program; perform comparative pro-forma tax sensitivity analysis (208) of the tax and investment data and the analyzed investment expectations using the predetermined software program; and determine an optimal after-tax investment strategy path from a plurality of [investment strategy] paths over the time range to optimize proceeds from the plurality of [investment] strategies.

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13/5/1 (Item 1 from file: 349) [Links](#)

PCT FULLTEXT

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00943636

AUTOMATED SECURITIES TRADING SYSTEM

SYSTEME DE COMMERCE DE TITRES AUTOMATISE

Patent Applicant/Patent Assignee:

17. MODUS NOVUS INC; 79 E. Main Street, Suite 107, Westminster, MD 21157

US; US(Residence); US(Nationality)

	Country	Number	Kind	Date
Patent	WO	200277766	A2-A3	20021003
Application	WO	2002US8736		20020321
Priorities	US	2001277257		20010321

English Abstract:

A method for the automated trading of securities (1,30,36), a computer system for carrying out the method, and computer software product containing logic for carrying out the method are disclosed. The system and method integrate information acquired from an investment advice system (20) about a security and places trades with a brokerage system based on the acquired investment advice information (21,40,57). The parameters used to determine whether or not a specified security is to be traded can be a default parameter or a trading parameter (136,138) specified by a user via a graphical user interface (113). The trading parameters set the constraints (164) within which the information acquired from the investment advice system is analyzed.

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15/5/1 (Item 1 from file: 349) [Links](#)

PCT FULLTEXT

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01085949

INVESTMENT SYSTEM

SYSTEME D'INVESTISSEMENT

Patent Applicant/Patent Assignee:

18. AUMAXX PTE LTD; 20 Havelock Road, #02-23/24/25, Central Square, Singapore 059765

SG; SG(Residence); SG(Nationality)

(For all designated states except: US)

19. LIM Chee Yong; c/o Vivian Ng, 7 Lorong Lew Lian #11-136, Singapore 531007

SG; SG(Residence); SG(Nationality)

(Designated only for: US)

Patent Applicant/Inventor:

20. LIM Chee Yong

c/o Vivian Ng, 7 Lorong Lew Lian #11-136, Singapore 531007; SG; SG(Residence);

SG(Nationality); (Designated only for: US)

	Country	Number	Kind	Date
Patent	WO	200408363	A1	20040122
Application	WO	2002SG150		20020702
Priorities	WO	2002SG150		20020702

English Abstract:

Abstract: Investment SystemAn investment system wherein details of an investment portfolio are maintained on a client computing device, each investment in the investment portfolio having a predetermined sell target, the client computing device obtaining current prices for the investment portfolio from a master computing device and generating a portfolio update and sell signals for any investment having reached its sell target; the client computing device also receiving stop-loss signals from the master computing device.

Subject Search; non patent literature; abstracts/bibliographic

Set Items Description

S1 1813364 S ANNUIT??? OR BOND OR BONDS OR (CORPORATE OR MUNICIPAL)()BONDS OR EQUITY()LINKED OR EQUITIES OR (FINANCIAL OR INVESTMENT)()(VEHICLE OR VEHICLES OR PRODUCT OR PRODUCTS OR INSTRUMENT OR INSTRUMENTS) OR FUND OR FUNDS OR FUTURES OR INDIVIDUAL()RETIREMENT()(ACCOUNT OR ACCOUNTS) OR INVESTMENT OR INVESTMENTS OR IRA OR IRAS OR MUNI OR MUNIS OR PORTFOLIO OR PREFERRED()(STOCK OR STOCKS) OR SECURITIES OR SHARE OR SHARES OR SH OR SHR OR STOCK OR STOCKS OR (STOCK OR STOCKS OR BOND OR BONDS OR FINANCIAL OR INTERNATIONAL OR COMMODIT??? OR FUTURES OR SECURIT??? OR EQUITIES OR EQUITY)()(MARKET OR MARKETS OR TRADE?? OR TRADING OR INVEST? OR EXCHANG?)
S2 22069 S (DOWNSIDE OR DECLINE OR DECLINING OR BOTTOM OR LOWEST OR BASE OR FALL OR FALLING OR FALLEN OR LOSS OR LOSSES)(3N)(PROTECTION OR PROTECT OR LIMIT OR LIMITING OR STOP OR STOPPING OR GUARD??? OR SAFEGUARD? OR PRECAUTION??? OR DEFEND? OR SHIELD???) OR HEDGE OR HEDGING OR BUY(2N)SELL

S3 7057275 S (MULTIPLE OR LOGISTICAL OR TREE)(N)REGRESSION OR LOGARITHM??
OR (DISCRIMINATE OR STATISTICAL)()(TECHNIQUE OR PROCEDURE OR ANALYSIS OR
REPRESENTATION OR REPRESENTATIONS) OR LOGIC()(STRUCTURE OR STRUCTURES) OR
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S4 0 S ((STOP OR STOPPING OR HALTING)()(LOSS OR LOOSING)()PERCENTAGE?) AND
((MARKET OR MARKETS OR TRADABLE OR PURCHAS?)(3N)(VALUE? ? OR PRICE? ? OR
AMOUNT? ? OR WORTH OR RATE? ? OR VALUATION) OR TRAILING()STOP()LOSS OR
TRAILING()STOP()LIMIT)

S5 5 S (HIGH OR HIGHEST OR MOST OR HIGH?? OR HI OR UPPER OR TOP OR
TOPMOST OR UPMOST OR IPPERMOST)(2N)(VALUE? ? OR AMOUNT? ? OR WORTH OR
PRICE OR COST? ?) AND ((SELL OR SALE? ?)(2N)(STANDARD OR THRESHOLD OR
THRESHHOLD OR TARGET?? OR BENCHMARK OR BENCH()MARK OR CRITERIA OR
YARDSTICK OR YARD()STICK OR MINIMUM OR REFERENCE()VALUE))(3N)(PRICE OR
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OR COST OR COSTS)

S6 1 S ((STOP OR STOPPING OR HALT OR HALTING OR TERMINAT??? OR END??? OR
CONCLUDE??? OR CANCEL?)(3N)(LOSS OR LOOSE OR LOSE? ? OR LOSING OR FAILURE OR
FORFEIT??? OR FORFEITURE))(2N)((CURRENCY OR DOLLAR OR DOLLARS OR EURO OR
EUROS OR FRANC OR FRANCS OR DEUTSCHMARK OR DEUTSCHMARKS OR POUND OR
POUNDS OR STERLING OR RUPEE OR RUPEES OR YEN OR LIRA OR PESO OR PESOS) AND
(STANDARD OR THRESHOLD OR THRESHHOLD OR TARGET?? OR BENCHMARK OR
BENCH()MARK OR CRITERIA OR YARDSTICK OR YARD()STICK OR MINIMUM OR
REFERENCE()VALUE))(3N)(PRICE OR PRICES OR CHARGE OR CHARGES OR FEE OR FEES
OR OFFER OR OFFERS OR BID OR BIDS OR COST OR COSTS)

S7 12061 S S1 AND S2

S8 5157 S S7 AND S3

S9 0 S S8 AND (S4 OR S5)

S10 8290 S S1(10N)S2

S11 1086 S S10(10N)S3

S12 9 S S11(10N)((STOP OR STOPPING OR HALTING)()LOSS)

S13 6 S S12 NOT PY>2001

S14 6 RD (unique items)

S15 0 S (TRAILING()STOP()LOSS OR TRAILING()STOP()LIMIT)

S16 1 S S1 AND S5

S17 1 S S16 NOT S14

S18 0 S S6 NOT PY>2001

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[File 2] INSPEC 1898-2008/Mar W1

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[File 35] Dissertation Abs Online 1861-2008/Nov

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[File 65] Inside Conferences 1993-2008/Apr 01

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[File 99] Wilson Appl. Sci & Tech Abs 1983-2008/Jan
(c) 2008 The HW Wilson Co. All rights reserved.

[File 474] New York Times Abs 1969-2008/Apr 01
(c) 2008 The New York Times. All rights reserved.

[File 475] Wall Street Journal Abs 1973-2008/Apr 01
(c) 2008 The New York Times. All rights reserved.

[File 583] Gale Group Globalbase(TM) 1986-2002/Dec 13
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**File 583: This file is no longer updating as of 12-13-2002.*

[File 139] EconLit 1969-2008/Feb
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14/3,K/1 (Item 1 from file: 2) [Links](#)

INSPEC

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07920005 INSPEC Abstract Number: C2001-06-7180-040

Title: On stop-loss strategies for stock investments

Author Shih-Yu Shen; Andrew Minglong Wang

Author Affiliation: Dept. of Math., Nat. Cheng-Kung Univ., Tainan, Taiwan

Journal: Applied Mathematics and Computation vol.119, no.2-3 p. 317-37

Publisher: Elsevier ,

Publication Date: 15 April 2001 Country of Publication: USA

CODEN: AMHCBQ ISSN: 0096-3003

SICI: 0096-3003(20010415)119:2/3L:317:SLSS;1-Y

Material Identity Number: B747-2001-006

U.S. Copyright Clearance Center Code: 0096-3003/2001/\$20.00

Language: English

Subfile: C

Copyright 2001, IEE

Abstract: This paper studies the expected return of a stock investment with a stop-loss strategy. The probability density function (p.d.f.) for the investment value is formulated as the solution for a boundary value problem of a partial differential...

14/3,K/2 (Item 2 from file: 2) [Links](#)

INSPEC

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04084430 INSPEC Abstract Number: C88016493

Title: New upper bounds for stop-loss premiums for the individual model

Author Van Heerwaarden, A.E.; Kaas, R.; Goovaerts, M.J.

Author Affiliation: Amsterdam Univ., Netherlands

Journal: Insurance Mathematics & Economics vol.6, no.4 p. 289-93

Publication Date: Nov. 1987 Country of Publication: Netherlands

CODEN: IMECDX ISSN: 0167-6687

U.S. Copyright Clearance Center Code: 0167-6687/87/\$3.50

Language: English

Subfile: C

Abstract: Deals with a problem connected with reinsurance, namely the calculation of stop-loss premiums for a life portfolio. The authors consider the so-called individual risk model, where a portfolio consists of a fixed number of contracts. An analytical expression for an...

14/3,K/3 (Item 1 from file: 474) [Links](#)

New York Times Abs

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00870321 NYT Sequence Number: 056073780320

(Silver futures prices advance to \$5.385 per ounce, after fluctuating between \$4 and \$5 for almost 4 years. Fundamentalists attribute trend to generally low prices in world silver market and fact that production and consumption figures have become meaningless. Chartist traders feel trend reflects stop-loss orders by speculators, soaring price of soybean futures and weakening in gold futures. Chart of US silver use (S).)

MAIDENBERG, H J

New York Times , Col. 5 , Pg. 4 , Sec. 4

Monday March 20 1978

...prices in world silver market and fact that production and consumption figures have become meaningless. Chartist traders feel trend reflects stop-loss orders by speculators, soaring price of soybean futures and weakening in gold futures. Chart of US silver use (S).)

14/3,K/4 (Item 1 from file: 139) [Links](#)

EconLit

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347677

Title: Advances in futures and options research. Volume 7

Author: Chance, Don M.; Trippi, Robert R., eds.

Publication Information: Greenwich, Conn. and London: JAI Press, Pages: xviii, 335

Publication Date: 1994

ISBN: 1-55938-748-3

Document Type: Book

Abstract Indicator: Abstract

Abstract: ...Trading Advisor performance information; mathematical foundations of barrier option-pricing theory; determining the optimal reinsurance stop-loss premium; and dynamic investment strategies and mean-variance dominance of the constant-mix rule. Chance is at Virginia Polytechnic Institute and State University. Trippi is at the California State University...

14/3,K/5 (Item 2 from file: 139) [Links](#)

EconLit

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243472

Title: The Stop-Loss Start-Gain Paradox and Option Valuation: A New Decomposition into Intrinsic and Time Value

Author: Carr, Peter P.; Jarrow, Robert A.

Author Affiliation: Cornell U; Cornell U

Journal Name: Review of Financial Studies ,

Journal Volume & Issue: 3 3 ,

Pages: 469-92

Publication Date: 1990

ISSN: 0893-9454

Document Type: Journal Article

Abstract Indicator: Abstract

Abstract: The downside risk in a leveraged stock position can be eliminated by using stop-loss orders. The upside potential of such a position can be captured using contingent buy orders. The terminal payoff to...

14/3,K/6 (Item 3 from file: 139) [Links](#)

EconLit

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228694

Title: Gambler's Ruin and Optimal Stop Loss Strategy

Author: Shyy, Gang

Author Affiliation: Bridgewater Assoc

Journal Name: Journal of Futures Markets ,

Journal Volume & Issue: 9 6 ,

Pages: 565-71

Publication Date: December 1989

ISSN: 0270-7314

Document Type: Journal Article

Abstract Indicator: Abstract

Abstract: ...seeks to bring techniques from stochastic processes to bear on the problem of designing optimal stop-loss trading orders in financial futures markets. The theoretical basis of the paper consists chiefly of modeling the futures price by a simple discrete binomial Markov process. Both theoretical and empirical results...

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17/3,K/1 (Item 1 from file: 2) [Links](#)

INSPEC

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09850684

Title: Joint management of finished goods inventory and demand process for a make-to-stock product: a computational approach

Author Liuxin Chen; Youyi Feng; Jihong Ou

Author Affiliation: Dept. of Syst. Eng. & Eng. Manage., Chinese Univ. of Hong Kong, China

Journal: IEEE Transactions on Automatic Control vol.51, no.2 p. 258-73

Publisher: IEEE ,

Publication Date: Feb. 2006 Country of Publication: USA

CODEN: IETAA9 ISSN: 0018-9286

SICI: 0018-9286(200602)51:2L:258:JMFG;1-J

Material Identity Number: I008-2006-002

U.S. Copyright Clearance Center Code: 0018-9286/\$20.00

Item Identifier (DOI): [10.1109/TAC.2005.863484](https://doi.org/10.1109/TAC.2005.863484)

Language: English

Subfile: C E

Copyright 2006, The Institution of Engineering and Technology

Title: Joint management of finished goods inventory and demand process for a make-to-stock product: a computational approach

Abstract: ...joint management of finished goods inventory and demand for a product in a make-to-

stock production system. The production process is random with controllable mean rate, and the demand process is stochastic with changeable mean rate dependent on the sale price being high or low. The management issue is how to dynamically adjust the production rate and the... We show that: 1) the optimal management of the finished goods inventory follows a base stock policy: when the inventory is above certain base stock level, the production is halted; otherwise the maximum production rate is deployed to raise the inventory to the base stock level; and 2) the optimal management of the demand process follows a price switch threshold policy: when the inventory is above the threshold, the low sale price is chosen to sell the product; and below it the high price is chosen to reduce the demand. We provide an algorithm to compute the base stock level and price switch threshold. Extension to multiple price choices is given with proofs highlighted. Identifiers: ...make-to-stock product... ...make-to-stock production system...

Subject Search; non patent literature: full text # 1

Set Items Description

S1 16844543 S ANNUIT??? OR BOND OR BONDS OR (CORPORATE OR MUNICIPAL)()BONDS OR EQUITY()LINKED OR EQUITIES OR (FINANCIAL OR INVESTMENT)()(VEHICLE OR VEHICLES OR PRODUCT OR PRODUCTS OR INSTRUMENT OR INSTRUMENTS) OR FUND OR FUNDS OR FUTURES OR INDIVIDUAL()RETIREMENT()(ACCOUNT OR ACCOUNTS) OR INVESTMENT OR INVESTMENTS OR IRA OR IRAS OR MUNI OR MUNIS OR PORTFOLIO OR PREFERRED()(STOCK OR STOCKS) OR SECURITIES OR SHARE OR SHARES OR SH OR SHR OR STOCK OR STOCKS OR (STOCK OR STOCKS OR BOND OR BONDS OR FINANCIAL OR INTERNATIONAL OR COMMODIT??? OR FUTURES OR SECURIT??? OR EQUITIES OR EQUITY)()(MARKET OR MARKETS OR TRADE?? OR TRADING OR INVEST? OR EXCHANG?)

S2 555187 S (DOWNSIDE OR DECLINE OR DECLINING OR BOTTOM OR LOWEST OR BASE OR FALL OR FALLING OR FALLEN OR LOSS OR LOSSES)(3N)(PROTECTION OR PROTECT OR LIMIT OR LIMITING OR STOP OR STOPPING OR GUARD??? OR SAFEGUARD? OR PRECAUTION??? OR DEFEND? OR SHIELD???) OR HEDGE OR HEDGING OR BUY(2N)SELL

S3 18193503 S (MULTIPLE OR LOGISTICAL OR TREE)(N)REGRESSION OR LOGARITHM?? OR (DISCRIMINATE OR STATISTICAL)()(TECHNIQUE OR PROCEDURE OR ANALYSIS OR REPRESENTATION OR REPRESENTATIONS) OR LOGIC()(STRUCTURE OR STRUCTURES) OR WEIGHT??? OR MODEL??? OR MODELLING OR LINEAR()(ALGORITHM OR ALGORITHMS) OR FORMULA? ? OR PLOT OR PLOTS OR PLOTT??? OR CHART??? OR HEURISTIC OR HEURISTICS OR EQUATION OR EQUATIONS OR MATHEMATICAL()(EXPRESSION OR EXPRESSIONS) OR FORECAST??? OR FORETELL? OR FORE()CAST??? OR TELL??? OR SEE???) OR PROBABILITY OR PROBABLE OR ANTICIPAT??? OR EXPECT?? OR MODEL??? OR MODELLING OR PREDICT??? OR FORESEE? OR POTENTIAL?? OR CONTINGEN?? OR LIKELY? OR LIKELIHOOD OR CHANCE? ? OR PROJECTI???

S4 6 S ((STOP OR STOPPING OR HALTING)()(LOSS OR LOOSING)()PERCENTAGE?) AND ((MARKET OR MARKETS OR TRADABLE OR PURCHAS?)(3N)(VALUE? ? OR PRICE? ? OR AMOUNT? ? OR WORTH OR RATE? ? OR VALUATION) OR TRAILING()STOP()LOSS OR TRAILING()STOP()LIMIT)

S5 444 S (HIGH OR HIGHEST OR MOST OR HIGH?? OR HI OR UPPER OR TOP OR TOPMOST OR UPMOST OR IPPERMOST)(2N)(VALUE? ? OR AMOUNT? ? OR WORTH OR PRICE OR COST? ?) AND ((SELL OR SALE? ?)(2N)(STANDARD OR THRESHOLD OR THRESHHOLD OR TARGET?? OR BENCHMARK OR BENCH()MARK OR CRITERIA OR YARDSTICK OR YARD()STICK OR MINIMUM OR REFERENCE()VALUE))(3N)(PRICE OR

PRICES OR CHARGE OR CHARGES OR FEE OR FEES OR OFFER OR OFFERS OR BID OR BIDS OR COST OR COSTS)

S6 29 S ((STOP OR STOPPING OR HALT OR HALTING OR TERMINAT??? OR END??? OR CONCLUDE??? OR CANCEL?)(3N)(LOSS OR LOOSE OR LOSE? ? OR LOSING OR FAILURE OR FORFEIT??? OR FORFEITURE))(2N)((CURRENCY OR DOLLAR OR DOLLARS OR EURO OR EUROS OR FRANC OR FRANCS OR DEUTSCHMARK OR DEUTSCHMARKS OR POUND OR POUNDS OR STERLING OR RUPEE OR RUPEES OR YEN OR LIRA OR PESO OR PESOS) AND (STANDARD OR THRESHOLD OR THRESHHOLD OR TARGET?? OR BENCHMARK OR BENCH()MARK OR CRITERIA OR YARDSTICK OR YARD()STICK OR MINIMUM OR REFERENCE()VALUE))(3N)(PRICE OR PRICES OR CHARGE OR CHARGES OR FEE OR FEES OR OFFER OR OFFERS OR BID OR BIDS OR COST OR COSTS)

S7 288338 S S1(10N)S2

S8 19581 S S7(10N)S3

S9 6 S S8 AND (S4 OR S5)

S10 1 S S9 NOT PY>2001

S11 1 RD (unique items)

S12 2 S S4 NOT PY>2001

S13 2 RD (unique items)

S14 2 S S13 NOT S11

; show files

[File 20] Dialog Global Reporter 1997-2008/Apr 02

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11/3,K/1 [Links](#)

Dialog Global Reporter

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06055568 (USE FORMAT 7 OR 9 FOR FULLTEXT)

IRT Business This Week 2: Everything you wanted to know about shares but thought was too risky to ask - While equities are not a risk-free investment and can be volatile in the short term, over the years they have consistently outperformed other investmen

IRISH TIMES , p 60

July 02, 1999

Journal Code: FIRT Language: English Record Type: FULLTEXT

Word Count: 1886

...any individual shareholder to either buy at the very bottom or sell at the very top price.

But it is important that shareholders ensure that they keep themselves well informed about the...

...company including its financial performance and outlook, its markets and the sector it operates in.

Potential investors should examine the share price performance over a period in the newspapers.

How to buy and sell: Shares have to be bought and sold through a stockbroker. Potential investors will find a list of stockbrokers in the Golden Pages. They should contact a...

+++++

14/3,K/1 [Links](#)

Dialog Global Reporter

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13620085 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Personal Finance: Diary Of A Private Investor - Never let your heart rule your head: Avoid unhappy investments with a 'pre-nuptial agreement' for shares

INDEPENDENT

November 04, 2000

Journal Code: FIND Language: English Record Type: FULLTEXT

...when you actually hand over the dowry to the stockbroker, you should set a rolling stop-loss percentage figure. It need not necessarily be the same for all your investments. If the share...

14/3,K/2 [Links](#)

Dialog Global Reporter

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11349876 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Personal Finance: Diary Of A Private Investor - The answer to a sunny dilemma: It's that time of year when many of us dash off in search of sunshine. But what should you do about the portfolio?

INDEPENDENT

June 03, 2000

Journal Code: FIND Language: English Record Type: FULLTEXT

...a stop loss policy in place? I am not comfortable with this as a strategy. Markets, and particularly the prices of shares in the more speculative section of my portfolio, are so volatile at the moment that I would not know where to position a universal stop loss percentage. A sharp market decline followed by an equally dramatic bounce could leave me with no...

Subject Search; non patent literature: full text # 2

Set Items Description

S1 5741489 S ANNUITY??? OR BOND OR BONDS OR (CORPORATE OR MUNICIPAL)()BONDS OR EQUITY()LINKED OR EQUITIES OR (FINANCIAL OR INVESTMENT)()()VEHICLE OR VEHICLES OR PRODUCT OR PRODUCTS OR INSTRUMENT OR INSTRUMENTS) OR FUND OR FUNDS OR FUTURES OR INDIVIDUAL()RETIREMENT()()ACCOUNT OR ACCOUNTS) OR INVESTMENT OR INVESTMENTS OR IRA OR IRAS OR MUNI OR MUNIS OR PORTFOLIO OR PREFERRED()()STOCK OR STOCKS) OR SECURITIES OR SHARE OR SHARES OR SH OR SHR OR STOCK OR STOCKS OR (STOCK OR STOCKS OR BOND OR BONDS OR FINANCIAL OR INTERNATIONAL OR COMMODITY??? OR FUTURES OR SECURITIES??? OR EQUITIES OR EQUITY)()()MARKET OR MARKETS OR TRADE?? OR TRADING OR INVEST? OR EXCHANGE?)

S2 194126 S (DOWNSIDE OR DECLINE OR DECLINING OR BOTTOM OR LOWEST OR BASE OR FALL OR FALLING OR FALLEN OR LOSS OR LOSSES)(3N)(PROTECTION OR PROTECT OR LIMIT OR LIMITING OR STOP OR STOPPING OR GUARD??? OR SAFEGUARD? OR PRECAUTION??? OR DEFEND? OR SHIELD???) OR HEDGE OR HEDGING OR BUY(2N)SELL

S3 5868756 S (MULTIPLE OR LOGISTICAL OR TREE)(N)REGRESSION OR LOGARITHM?? OR (DISCRIMINATE OR STATISTICAL)()()TECHNIQUE OR PROCEDURE OR ANALYSIS OR REPRESENTATION OR REPRESENTATIONS) OR LOGIC()()STRUCTURE OR STRUCTURES) OR WEIGHT??? OR MODEL??? OR MODELLING OR LINEAR()()ALGORITHM OR ALGORITHMS) OR FORMULA? ? OR PLOT OR PLOTS OR PLOT??? OR CHART??? OR HEURISTIC OR HEURISTICS OR EQUATION OR EQUATIONS OR MATHEMATICAL()()EXPRESSION OR EXPRESSIONS) OR FORECAST??? OR FORETELL? OR FORE()()CAST??? OR TELL??? OR SEE???) OR PROBABILITY OR PROBABLE OR ANTICIPATE??? OR EXPECT?? OR MODEL??? OR MODELLING OR PREDICT??? OR FORESEE? OR POTENTIAL?? OR CONTINGENT?? OR LIKELY? OR LIKELIHOOD OR CHANCE? ? OR PROJECTI???

S4 0 S ((STOP OR STOPPING OR HALTING)()()LOSS OR LOSING)()PERCENTAGE?) AND ((MARKET OR MARKETS OR TRADABLE OR PURCHASE)(3N)(VALUE? ? OR PRICE? ? OR

AMOUNT? ? OR WORTH OR RATE? ? OR VALUATION) OR TRAILING()STOP()LOSS OR TRAILING()STOP()LIMIT)

S5 383 S (HIGH OR HIGHEST OR MOST OR HIGH?? OR HI OR UPPER OR TOP OR TOPMOST OR UPMOST OR IPPERMOST)(2N)(VALUE? ? OR AMOUNT? ? OR WORTH OR PRICE OR COST? ?) AND ((SELL OR SALE? ?)(2N)(STANDARD OR THRESHOLD OR THRESHHOLD OR TARGET?? OR BENCHMARK OR BENCH()MARK OR CRITERIA OR YARDSTICK OR YARD()STICK OR MINIMUM OR REFERENCE()VALUE))(3N)(PRICE OR PRICES OR CHARGE OR CHARGES OR FEE OR FEES OR OFFER OR OFFERS OR BID OR BIDS OR COST OR COSTS)

S6 12 S ((STOP OR STOPPING OR HALT OR HALTING OR TERMINAT??? OR END??? OR CONCLUDE??? OR CANCEL?)(3N)(LOSS OR LOOSE OR LOSE? ? OR LOSING OR FAILURE OR FORFEIT??? OR FORFEITURE))(2N)((CURRENCY OR DOLLAR OR DOLLARS OR EURO OR EUROS OR FRANC OR FRANCS OR DEUTSCHMARK OR DEUTSCHMARKS OR POUND OR POUNDS OR STERLING OR RUPEE OR RUPEES OR YEN OR LIRA OR PESO OR PESOS) AND (STANDARD OR THRESHOLD OR THRESHHOLD OR TARGET?? OR BENCHMARK OR BENCH()MARK OR CRITERIA OR YARDSTICK OR YARD()STICK OR MINIMUM OR REFERENCE()VALUE))(3N)(PRICE OR PRICES OR CHARGE OR CHARGES OR FEE OR FEES OR OFFER OR OFFERS OR BID OR BIDS OR COST OR COSTS)

S7 81810 S S1(10N)S2

S8 10004 S S7(10N)S3

S9 4 S S8 AND (S4 OR S5)

S10 2 S S9 NOT PY>2001

S11 2 RD (unique items)

S12 65117 S S1(3N)S2

S13 1 S S12 AND S6

S14 1 S S13 NOT S11

? show files

[File 15] ABI/Inform(R) 1971-2008/Apr 01

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[File 610] Business Wire 1999-2008/Apr 02

(c) 2008 Business Wire. All rights reserved.

**File 610: File 610 now contains data from 3/99 forward. Archive data (1986-2/99) is available in File 810.*

[File 810] Business Wire 1986-1999/Feb 28

(c) 1999 Business Wire . All rights reserved.

[File 613] PR Newswire 1999-2008/Apr 02

(c) 2008 PR Newswire Association Inc. All rights reserved.

**File 613: File 613 now contains data from 5/99 forward. Archive data (1987-4/99) is available in File 813.*

[File 813] PR Newswire 1987-1999/Apr 30

(c) 1999 PR Newswire Association Inc. All rights reserved.

[File 634] San Jose Mercury Jun 1985-2008/Mar 31

(c) 2008 San Jose Mercury News. All rights reserved.

[File 624] McGraw-Hill Publications 1985-2008/Apr 02

(c) 2008 McGraw-Hill Co. Inc. All rights reserved.

**File 624: Homeland Security & Defense and 9 Platt energy journals added Please see HELP NEWS624 for more*

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11/3,K/1 (Item 1 from file: 15) [Links](#)

ABI/Inform(R)

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00783970 94-33362

Financial instruments help producers hedge gas deals in volatile market

Lawnin, James N; Kupiec, Suzanne L

Oil & Gas Journal v91n44 pp: 52-60

Nov 1, 1993

ISSN: 0030-1388 Journal Code: OGI

Text:

...natural gas futures contract price is more likely to rise but still needs protection from falling prices.

Table 2 illustrates this example.

It shows that 50 puts were purchased and that...

11/3,K/2 (Item 2 from file: 15) [Links](#)

ABI/Inform(R)

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00718209 93-67430

Commodity derivatives: A briefing for the naked CFO

Grabbe, J Orlin; Wisnieff, Thomas; Caione, Gregory A

Corporate Finance Derivatives Supplement pp: 31-36

May 1993

ISSN: 0958-2053 Journal Code: COF

Abstract:

...wrong counterparty could result in a simple substitution of credit risk for commodity price risk.

Potential counterparties for hedge transactions included trade partners, futures exchanges, and participants in the OTC market. Accurate hedging requires that the following risks be considered: 1. basis risk, 2. credit risk, 3. cash...

Text:

...counterparty could result in a simple substitution of credit risk for commodity price risk.

COMMODITY HEDGING COUNTERPARTIES

Potential counterparties for hedge transactions include trade

partners, futures exchanges, and participants in the OTC

market. There are a number of issues associated with the...ratios relative to their debt. This increased predictability of revenues is provided by a forward sale at a minimum floor price. The result is an increasing imbalance in the market so that the farther forward one...

...without cover at points that stretch as far as five years forward or further along most commodity forward price vectors.

+++++

14/3,K/1 (Item 1 from file: 15) [Links](#)

ABI/Inform(R)

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02990771 937781841
What Drives Movements in Exchange Rates?
Bailliu, Jeannine; King, Michael R
Bank of Canada Review pp: 27-39
Autumn 2005
ISSN: 0045-1460 Journal Code: BCA
Text:

...as corporations), unleveraged financial institutions (such as mutual funds), and leveraged financial institutions (such as hedge funds).
Several studies explain short-term exchange rate dynamics with reference to the type of actors...
...2005. "Stop-Loss Orders and Price Cascades in Currency Markets." Journal of International Money and Finance 24 (2): 219-41.

Subject Search: non patent literature; full text # 3

Set Items Description

S1 17583299 S ANNUIT??? OR BOND OR BONDS OR (CORPORATE OR MUNICIPAL)()BONDS OR EQUITY()LINKED OR EQUITIES OR (FINANCIAL OR INVESTMENT)()(VEHICLE OR VEHICLES OR PRODUCT OR PRODUCTS OR INSTRUMENT OR INSTRUMENTS) OR FUND OR FUNDS OR FUTURES OR INDIVIDUAL()RETIREMENT()(ACCOUNT OR ACCOUNTS) OR INVESTMENT OR INVESTMENTS OR IRA OR IRAS OR MUNI OR MUNIS OR PORTFOLIO OR PREFERRED() (STOCK OR STOCKS) OR SECURITIES OR SHARE OR SHARES OR SH OR SHR OR STOCK OR STOCKS OR (STOCK OR STOCKS OR BOND OR BONDS OR FINANCIAL OR INTERNATIONAL OR COMMODIT??? OR FUTURES OR SECURIT??? OR EQUITIES OR EQUITY)()(MARKET OR MARKETS OR TRADE?? OR TRADING OR INVEST? OR EXCHANG?)
S2 675148 S (DOWNSIDE OR DECLINE OR DECLINING OR BOTTOM OR LOWEST OR BASE OR FALL OR FALLING OR FALLEN OR LOSS OR LOSSES)(3N)(PROTECTION OR PROTECT OR LIMIT OR LIMITING OR STOP OR STOPPING OR GUARD??? OR SAFEGUARD? OR PRECAUTION??? OR DEFEND? OR SHIELD???) OR HEDGE OR HEDGING OR BUY(2N)SELL
S3 17433306 S (MULTIPLE OR LOGISTICAL OR TREE)(N)REGRESSION OR LOGARITHM?? OR (DISCRIMINATE OR STATISTICAL)()(TECHNIQUE OR PROCEDURE OR ANALYSIS OR REPRESENTATION OR REPRESENTATIONS) OR LOGIC() (STRUCTURE OR STRUCTURES) OR WEIGHT??? OR MODEL??? OR MODELLING OR LINEAR() (ALGORITHM OR ALGORITHMS) OR FORMULA? ? OR PLOT OR PLOTS OR PLOTT??? OR CHART??? OR HEURISTIC OR HEURISTICS OR EQUATION OR EQUATIONS OR MATHEMATICAL() (EXPRESSION OR EXPRESSIONS) OR FORECAST??? OR FORETELL? OR FORE() (CAST??? OR TELL??? OR SEE???) OR PROBABILITY OR PROBABLE OR ANTICIPAT??? OR EXPECT?? OR MODEL??? OR MODELLING OR PREDICT??? OR FORESEE? OR POTENTIAL?? OR CONTINGEN?? OR LIKELY? OR LIKELIHOOD OR CHANCE? ? OR PROJECTI???
S4 0 S ((STOP OR STOPPING OR HALTING)() (LOSS OR LOOSING)()PERCENTAGE?) AND ((MARKET OR MARKETS OR TRADABLE OR PURCHAS?)(3N)(VALUE? ? OR PRICE? ? OR AMOUNT? ? OR WORTH OR RATE? ? OR VALUATION) OR TRAILING()STOP()LOSS OR TRAILING()STOP()LIMIT)
S5 792 S (HIGH OR HIGHEST OR MOST OR HIGH?? OR HI OR UPPER OR TOP OR TOPMOST OR UPMOST OR IPPERMOST)(2N)(VALUE? ? OR AMOUNT? ? OR WORTH OR PRICE OR COST? ?) AND ((SELL OR SALE? ?)(2N)(STANDARD OR THRESHOLD OR THRESHHOLD OR TARGET?? OR BENCHMARK OR BENCH()MARK OR CRITERIA OR

YARDSTICK OR YARD()STICK OR MINIMUM OR REFERENCE()VALUE))(3N)(PRICE OR PRICES OR CHARGE OR CHARGES OR FEE OR FEES OR OFFER OR OFFERS OR BID OR BIDS OR COST OR COSTS)

S6 13 S ((STOP OR STOPPING OR HALT OR HALTING OR TERMINAT??? OR END??? OR CONCLUDE??? OR CANCEL?)(3N)(LOSS OR LOOSE OR LOSE? ? OR LOSING OR FAILURE OR FORFEIT??? OR FORFEITURE))(2N)((CURRENCY OR DOLLAR OR DOLLARS OR EURO OR EUROS OR FRANC OR FRANCS OR DEUTSCHMARK OR DEUTSCHMARKS OR POUND OR POUNDS OR STERLING OR RUPEE OR RUPEES OR YEN OR LIRA OR PESO OR PESOS) AND (STANDARD OR THRESHOLD OR THRESHHOLD OR TARGET?? OR BENCHMARK OR BENCH()MARK OR CRITERIA OR YARDSTICK OR YARD()STICK OR MINIMUM OR REFERENCE()VALUE))(3N)(PRICE OR PRICES OR CHARGE OR CHARGES OR FEE OR FEES OR OFFER OR OFFERS OR BID OR BIDS OR COST OR COSTS)

S7 416050 S S1(10N)S2

S8 26634 S S7(10N)S3

S9 7 S S8 AND (S4 OR S5)

S10 0 S S9 AND S6

S11 1 S S9 NOT PY>2001

S12 383396 S S1(3N)S2

S13 1 S S12 AND S6

S14 1 S S13 NOT PY>2001

S15 1 S S14 NOT S11

? show files

[File 9] Business & Industry(R) Jul/1994-2008/Mar 31

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[File 275] Gale Group Computer DB(TM) 1983-2008/Mar 27

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[File 621] Gale Group New Prod.Annou.(R) 1985-2008/Mar 17

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[File 636] Gale Group Newsletter DB(TM) 1987-2008/Mar 28

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[File 16] Gale Group PROMT(R) 1990-2008/Mar 27

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**File 16: Because of updating irregularities, the banner and the update (UD=) may vary.*

[File 160] Gale Group PROMT(R) 1972-1989

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[File 148] Gale Group Trade & Industry DB 1976-2008/Mar 14

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**File 148: The CURRENT feature is not working in File 148. See HELP NEWS148.*

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11/3,K/1 (Item 1 from file: 148) [Links](#)

Gale Group Trade & Industry DB

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06812775 Supplier Number: 14511282 (USE FORMAT 7 OR 9 FOR FULL TEXT)

Financial instruments help producers hedge gas deals in volatile market.

Kupiec, Suzanne L.; Lawnin, James N.

Oil and Gas Journal , v91 , n44 , p52(6)

Nov 1 , 1993

ISSN: 0030-1388

Language: ENGLISH

Record Type: FULLTEXT; ABSTRACT

...supply and demand, Congress initiated the deregulation of natural gas with NGPA, which phased out most wellhead price controls. A series of FERC actions culminating in Order 636 extended the process.

+++++

15/3,K/1 (Item 1 from file: 148) [Links](#)

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02981357 Supplier Number: 04606696 (USE FORMAT 7 OR 9 FOR FULL TEXT)

In search of the comfort zone. (how much risk clients can afford to take)

Schaeffer, Karen P.

Best's Review - Life-Health Insurance Edition , v87 , p54(6)

Dec , 1986

ISSN: 0005-9706

Language: ENGLISH

Record Type: FULLTEXT

...to minimize investment risk. These strategies include mutual funds; limited partnerships; timing; buy and hold; dollar-cost -averaging; professional money managers; stop loss orders; and diversification.

Of course, we cannot expect the Kellys to peruse the list and...Pros: Historically, less price fluctuation than growth funds; professional management; can participate in bond or stock fund; easy to buy and sell.

+++++

15/3,K/1 (Item 1 from file: 148) [Links](#)

Gale Group Trade & Industry DB

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02981357 Supplier Number: 04606696 (USE FORMAT 7 OR 9 FOR FULL TEXT)

In search of the comfort zone. (how much risk clients can afford to take)

Schaeffer, Karen P.

Best's Review - Life-Health Insurance Edition , v87 , p54(6)

Dec , 1986

ISSN: 0005-9706

Language: ENGLISH

Record Type: FULLTEXT

...to minimize investment risk. These strategies include mutual funds; limited partnerships; timing; buy and hold; dollar-cost -averaging; professional money managers; stop loss orders; and diversification.

Of course, we cannot expect the Kellys to peruse the list and...Pros: Historically, less price fluctuation than growth funds; professional management; can participate in bond or stock fund; easy to buy and sell.

Subject Search; non patent literature; full text #4

Set Items Description

S1 1242559 S ANNUIT??? OR BOND OR BONDS OR (CORPORATE OR MUNICIPAL)(BONDS OR EQUITY)(LINKED OR EQUITIES OR (FINANCIAL OR INVESTMENT))(VEHICLE OR VEHICLES OR PRODUCT OR PRODUCTS OR INSTRUMENT OR INSTRUMENTS) OR FUND OR

FUNDS OR FUTURES OR INDIVIDUAL()RETIREMENT()ACCOUNT OR ACCOUNTS) OR INVESTMENT OR INVESTMENTS OR IRA OR IRAS OR MUNI OR MUNIS OR PORTFOLIO OR PREFERRED()STOCK OR STOCKS) OR SECURITIES OR SHARE OR SHARES OR SH OR SHR OR STOCK OR STOCKS OR (STOCK OR STOCKS OR BOND OR BONDS OR FINANCIAL OR INTERNATIONAL OR COMMODIT??? OR FUTURES OR SECURIT??? OR EQUITIES OR EQUITY)()(MARKET OR MARKETS OR TRADE?? OR TRADING OR INVEST? OR EXCHANG?)

S2 54616 S (DOWNSIDE OR DECLINE OR DECLINING OR BOTTOM OR LOWEST OR BASE OR FALL OR FALLING OR FALLEN OR LOSS OR LOSSES)(3N)(PROTECTION OR PROTECT OR LIMIT OR LIMITING OR STOP OR STOPPING OR GUARD??? OR SAFEGUARD? OR PRECAUTION??? OR DEFEND? OR SHIELD???) OR HEDGE OR HEDGING OR BUY(2N)SELL

S3 800701 S (MULTIPLE OR LOGISTICAL OR TREE)(N)REGRESSION OR LOGARITHM?? OR (DISCRIMINATE OR STATISTICAL)()(TECHNIQUE OR PROCEDURE OR ANALYSIS OR REPRESENTATION OR REPRESENTATIONS) OR LOGIC()(STRUCTURE OR STRUCTURES) OR WEIGHT??? OR MODEL??? OR MODELLING OR LINEAR()(ALGORITHM OR ALGORITHMS) OR FORMULA? ? OR PLOT OR PLOTS OR PLOTT??? OR CHART??? OR HEURISTIC OR HEURISTICS OR EQUATION OR EQUATIONS OR MATHEMATICAL()(EXPRESSION OR EXPRESSIONS) OR FORECAST??? OR FORETELL? OR FORE()(CAST??? OR TELL??? OR SEE???) OR PROBABILITY OR PROBABLE OR ANTICIPAT??? OR EXPECT?? OR MODEL??? OR MODELLING OR PREDICT??? OR FORESEE? OR POTENTIAL?? OR CONTINGEN?? OR LIKELY? OR LIKELIHOOD OR CHANCE? ? OR PROJECTI???

S4 0 S ((STOP OR STOPPING OR HALTING)()(LOSS OR LOOSING)()PERCENTAGE?) AND ((MARKET OR MARKETS OR TRADABLE OR PURCHAS?)(3N)(VALUE? ? OR PRICE? ? OR AMOUNT? ? OR WORTH OR RATE? ? OR VALUATION) OR TRAILING()STOP()LOSS OR TRAILING()STOP()LIMIT)

S5 62 S (HIGH OR HIGHEST OR MOST OR HIGH?? OR HI OR UPPER OR TOP OR TOPMOST OR UPMOST OR IPPERMOST)(2N)(VALUE? ? OR AMOUNT? ? OR WORTH OR PRICE OR COST? ?) AND ((SELL OR SALE? ?)(2N)(STANDARD OR THRESHOLD OR THRESHHOLD OR TARGET?? OR BENCHMARK OR BENCH()MARK OR CRITERIA OR YARDSTICK OR YARD()STICK OR MINIMUM OR REFERENCE()VALUE))(3N)(PRICE OR PRICES OR CHARGE OR CHARGES OR FEE OR FEES OR OFFER OR OFFERS OR BID OR BIDS OR COST OR COSTS)

S6 4 S ((STOP OR STOPPING OR HALT OR HALTING OR TERMINAT??? OR END??? OR CONCLUDE??? OR CANCEL?)(3N)(LOSS OR LOOSE OR LOSE? ? OR LOSING OR FAILURE OR FORFEIT??? OR FORFEITURE))(2N)((CURRENCY OR DOLLAR OR DOLLARS OR EURO OR EUROS OR FRANC OR FRANCS OR DEUTSCHMARK OR DEUTSCHMARKS OR POUND OR POUNDS OR STERLING OR RUPEE OR RUPEES OR YEN OR LIRA OR PESO OR PESOS) AND (STANDARD OR THRESHOLD OR THRESHHOLD OR TARGET?? OR BENCHMARK OR BENCH()MARK OR CRITERIA OR YARDSTICK OR YARD()STICK OR MINIMUM OR REFERENCE()VALUE))(3N)(PRICE OR PRICES OR CHARGE OR CHARGES OR FEE OR FEES OR OFFER OR OFFERS OR BID OR BIDS OR COST OR COSTS)

S7 30868 S S1(10N)S2

S8 3431 S S7(10N)S3

S9 1 S S8 AND (S4 OR S5)

S10 1 S S9 NOT PY>2001

S11 0 S S7 AND S6

; show files

[File 625] American Banker Publications 1981-2008/Mar 31
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[File 268] Banking Info Source 1981-2008/Mar W4
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[File 626] Bond Buyer Full Text 1981-2008/Apr 01
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[File 267] Finance & Banking Newsletters 2008/Mar 24
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[File 485] Accounting & Tax DB 1971-2008/Mar W3
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10/3,K/1 (Item 1 from file: 485) [Links](#)

Accounting & Tax DB

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00677113

Stock concentration risk management after TRA '97

Boczar, Thomas J

Trusts & Estates v137 n4 pp: 45-60 Mar 1998

ISSN: 0041-3682 Journal Code: TRE

Word Count: 10907 Line Count: 992 Accounting & Tax DB_1971-2008/Mar W3

Text:

...the continued viability of options strategies under the Act, have begun offering equity collars to high-net-worth investors.

Equity collars can be structured three ways, so that:

Subject Search; non patent literature; full text # 5

Set Items Description

S1 8810094 S ANNUIT??? OR BOND OR BONDS OR (CORPORATE OR MUNICIPAL)()BONDS OR EQUITY()LINKED OR EQUITIES OR (FINANCIAL OR INVESTMENT)()(VEHICLE OR VEHICLES OR PRODUCT OR PRODUCTS OR INSTRUMENT OR INSTRUMENTS) OR FUND OR FUNDS OR FUTURES OR INDIVIDUAL()RETIREMENT()(ACCOUNT OR ACCOUNTS) OR INVESTMENT OR INVESTMENTS OR IRA OR IRAS OR MUNI OR MUNIS OR PORTFOLIO OR PREFERRED()(STOCK OR STOCKS) OR SECURITIES OR SHARE OR SHARES OR SH OR SHR OR STOCK OR STOCKS OR (STOCK OR STOCKS OR BOND OR BONDS OR FINANCIAL OR INTERNATIONAL OR COMMODIT??? OR FUTURES OR SECURIT??? OR EQUITIES OR EQUITY)()(MARKET OR MARKETS OR TRADE?? OR TRADING OR INVEST? OR EXCHANG?)

S2 221870 S (DOWNSIDE OR DECLINE OR DECLINING OR BOTTOM OR LOWEST OR BASE OR FALL OR FALLING OR FALLEN OR LOSS OR LOSSES)(3N)(PROTECTION OR PROTECT OR LIMIT OR LIMITING OR STOP OR STOPPING OR GUARD??? OR SAFEGUARD? OR PRECAUTION??? OR DEFEND? OR SHIELD???) OR HEDGE OR HEDGING OR BUY(2N)SELL

S3 11407014 S (MULTIPLE OR LOGISTICAL OR TREE)(N)REGRESSION OR LOGARITHM?? OR (DISCRIMINATE OR STATISTICAL)()(TECHNIQUE OR PROCEDURE OR ANALYSIS OR REPRESENTATION OR REPRESENTATIONS) OR LOGIC()(STRUCTURE OR STRUCTURES) OR WEIGHT??? OR MODEL??? OR MODELLING OR LINEAR()(ALGORITHM OR ALGORITHMS) OR FORMULA? ? OR PLOT OR PLOTS OR PLOTT??? OR CHART??? OR HEURISTIC OR HEURISTICS OR EQUATION OR EQUATIONS OR MATHEMATICAL()(EXPRESSION OR EXPRESSIONS) OR FORECAST??? OR FORETELL? OR FORE()CAST??? OR TELL??? OR SEE???) OR PROBABILITY OR PROBABLE OR ANTICIPAT??? OR EXPECT?? OR MODEL??? OR MODELLING OR PREDICT??? OR FORESEE? OR POTENTIAL?? OR CONTINGEN?? OR LIKELY? OR LIKELIHOOD OR CHANCE? ? OR PROJECTI???

S4 0 S ((STOP OR STOPPING OR HALTING)() (LOSS OR LOOSING)() PERCENTAGE?) AND ((MARKET OR MARKETS OR TRADABLE OR PURCHAS?) (3N) (VALUE? ? OR PRICE? ? OR AMOUNT? ? OR WORTH OR RATE? ? OR VALUATION) OR TRAILING() STOP() LOSS OR TRAILING() STOP() LIMIT)

S5 177 S (HIGH OR HIGHEST OR MOST OR HIGH?? OR HI OR UPPER OR TOP OR TOPMOST OR UPMOST OR IPPERMOST) (2N) (VALUE? ? OR AMOUNT? ? OR WORTH OR PRICE OR COST? ?) AND ((SELL OR SALE? ?) (2N) (STANDARD OR THRESHOLD OR THRESHHOLD OR TARGET?? OR BENCHMARK OR BENCH() MARK OR CRITERIA OR YARDSTICK OR YARD() STICK OR MINIMUM OR REFERENCE() VALUE)) (3N) (PRICE OR PRICES OR CHARGE OR CHARGES OR FEE OR FEES OR OFFER OR OFFERS OR BID OR BIDS OR COST OR COSTS)

S6 16 S ((STOP OR STOPPING OR HALT OR HALTING OR TERMINAT??? OR END??? OR CONCLUDE??? OR CANCEL?) (3N) (LOSS OR LOOSE OR LOSE? ? OR LOSING OR FAILURE OR FORFEIT??? OR FORFEITURE)) (2N) ((CURRENCY OR DOLLAR OR DOLLARS OR EURO OR EUROS OR FRANC OR FRANCS OR DEUTSCHMARK OR DEUTSCHMARKS OR POUND OR POUNDS OR STERLING OR RUPEE OR RUPEES OR YEN OR LIRA OR PESO OR PESOS) AND (STANDARD OR THRESHOLD OR THRESHHOLD OR TARGET?? OR BENCHMARK OR BENCH() MARK OR CRITERIA OR YARDSTICK OR YARD() STICK OR MINIMUM OR REFERENCE() VALUE)) (3N) (PRICE OR PRICES OR CHARGE OR CHARGES OR FEE OR FEES OR OFFER OR OFFERS OR BID OR BIDS OR COST OR COSTS)

S7 70808 S S1(10N)S2

S8 5645 S S7(10N)S3

S9 1 S S8 AND (S4 OR S5)

S10 0 S S9 NOT PY>2001

S11 3 S S7 AND S6

S12 2 S S11 NOT PY>2001

S13 2 RD (unique items)

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0224034880 15E0121Z
Coats Viyella PLC Final Results
Regulatory News Service (RNS)
Wednesday , March 7, 2001

Journal Code: APFW Language: ENGLISH Record Type: Fulltext
Document Type: Newswire

...inflow of proceeds from disposals. TAXATION : #17.4m (#31.3 m) The Group's tax charge is affected by the loss on disposal of Contract Clothing which attracted a small amount of tax relief. The underlying...

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0211519681 15C70M70
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Atlanta Journal and Constitution (GA) , Home ed , p Q2
Sunday , February 11, 2001
Journal Code: ACBU Language: ENGLISH Record Type: Fulltext
Document Type: Newspaper Section Heading: Personal Technology
...this site offers stock charts, support and stock picks that include target and stop loss prices.
> www.bullchart.com Exercise Jobs: For those looking for a job in the exercise industry...

Section 3

09774147 Best Results
METHOD AND SYSTEM FOR PROVIDING DOWNSIDE PROTECTION OF STOCK
MARKET INVESTMENTS

[more background type thing]

14/3,K/1 (Item 1 from file: 2) [Links](#)
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Abstract: This paper studies the expected return of a stock investment with a stop-loss strategy. The probability density function (p.d.f.) for the investment value is formulated as the solution for a boundary value problem of a partial differential...